

STATE OF MAINE
OFFICE OF SECURITIES
121 STATE HOUSE STATION
AUGUSTA, ME 04333

IN RE:

RONALD S. SNOW

ORDER REVOKING A SALES
REPRESENTATIVE'S
LICENSE AND BARRING
ASSOCIATION
08-025

FINDINGS OF FACT AND CONCLUSION OF LAW

1. Ronald S. Snow ("Snow") (CRD # 4276106) was licensed in Maine as a sales representative or agent of PFS Investments, Inc., ("PFS") from January 11, 2001, until September 29, 2006, when his license was terminated by PFS for failing to respond to a request for information relating to a possible event that needed to be disclosed on Mr. Snow's U4 record.
2. Snow was also the owner of Three Sixty Management Services, Inc., a bookkeeping business located in Norway, Maine, which provided services to Norway-Paris Cable Television ("NPC-TV").
3. In October of 2007, Snow pleaded guilty to stealing approximately \$29,352.01, a Class B felony, from NPC-TV. As a requirement of his sentence, Snow was ordered by the Superior Court of Oxford County to forfeit all of his professional licenses, including his securities licenses.
4. Pursuant to 32 M.R.S.A. §§16412 and 16702, the Securities Administrator may, after notice and opportunity for a hearing, issue an order revoking a license and/or barring a licensee from associating with any issuer, broker-dealer or

investment adviser if the Securities Administrator finds that the order is in the public interest and if a licensee has, within the last 10 years, pleaded guilty or nolo contendere to, or been convicted of murder or a Class A, B or C crime or any crime indicating a lack of fitness to engage in the securities business.

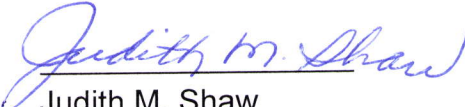
5. On June 28, 2010 the Securities Administrator issued a Notice of Intent to Issue an Order to Revoke the Securities license of Ronald S. Snow and to Bar Snow from association ("Notice"), giving him thirty (30) days to request a hearing on the matter.
6. Snow has not requested a hearing or otherwise responded to the Notice.
7. In accordance with 5 M.R.S.A. § 9053(3), and Section 19(1) of Office of Securities Rule Chapter 540, the Securities Administrator may dispose of this proceeding by default.

FINAL ORDER

Now, THEREFORE, it is ORDERED that Snow's sales representative's license is revoked and he is barred from any association with an issuer, licensed broker-dealer, or investment adviser.

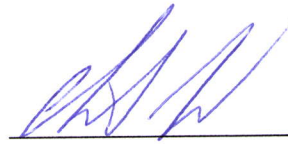
Pursuant to 32 M.R.S.A §§ 16604 and 16702, this is a final order, entered after notice and opportunity for a hearing. Pursuant to 32 M.R.S.A. §§ 16609 and 16702, Snow may obtain judicial review of the order in Superior Court of Kennebec County by filing a petition within thirty (30) calendar days after receipt of this Order, in accordance with 5 M.R.S.A § 11001 et seq. and Rule 80C of the Maine Rules of Civil Procedure.

Date: August 24, 2010


Judith M. Shaw
Securities Administrator

Presented by:

Date: August 24, 2010



Christian D. Caruso
Investigator/Examiner